09/673473

patent application serial no.

Department of Commerce Patent and Trademark Office fee record

10/16/2000 RBXZAU | 00000086 09873473 01 468970 | 080-60 02 50-986 | 10 60

Adjustment date: 12/14/2000 ERIMANDO 10/18/2000 AGIZAW 00000066 096/3473 01 F0.970 860:00 DP 02 FC-986 18:00 DP

12/14/2000 ERIMANDO 00000041 09673473

♥01 FC:971 02 FC:967

430.00 OP 9.00 OP

Repln. Ref: 12/14/2000 ERIMANDO 0008243100 DAW:140112 Hame/Mumber:09673473 FG: 704 4439:00 CR

y Docket No. 24406 TED STATES PATENT AND TRADEMARK OFFICE IN THE UN

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan 414 Rec'd PCT/PTO 1 6 OCT 2000. International Application No. PCT/CA99/00311

Serial No. NOT YET ASSIGNED

International Filing Date: 19 April 1999 (19.04.99)

Filed: October // , 2000

For: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION

## TRANSMITTAL LETTER

The Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1)Transmittal Letter
- Transmittal Letter To U.S. Designated/Elected Office (2) (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- International Publication No: WO 99/54280 (3) International Publication Date: 28 October 1999 (28.10.99) which consists of 42 pages including:
  - 1 Cover sheet containing the Abstract
  - 36 pages Textual Specification
    - 4 Pages of 10 claims
  - 1 Sheet of Drawings
- (4)Preliminary Amendment
- (5) Unexecuted Declaration
- PCT/IPEA/408 Written Opinion (6)
- Response to Written Opinion dated July 19, 2000 (7)
- PCT/ISA/210 International Search Report (8)
- (9) PCT/RO/101 Request
- (10) PCT/IPEA/401 Demand
- (11) PCT/IPEA/402 Notification of Receipt of Demand by Compentent International Preliminary Examining Authority
- (12) Check No. 13568 \$878.00 for Government Filing Fee
- (13) Postcard for early notification of serial number.

Respectfully submitted, NATH & ASSOCIATES PLLC

By:

Gary M. Wath

Registration No. 26,965

Customer No. 20529

Date: October 2000 NATH & ASSOCIATES PLLC  $1030^{\text{th}}~15^{\text{TH}}$  Street, NW -  $6^{\text{th}}$  Floor Washington, D.C. 20005 GMN/TLJ/JBG/dd:PCTappl.trans

ATTORNEY'S DOCKET NO: 24406

U.S. DE	PARTMENT OF COMMERCE PA	TENT AND TRADEMARK OFFICE	DATE Mount come / Management						
U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED  OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  DATE://October 2000 ( /610.20									
INTERNATIONAL APPLICATION NO.: PCT/CA99/00311		INTERNATIONAL FILING DATE: 19 April 1999 (19.04.99)	PRIORITY DATE CLAIMED: 17 April 1998 (17.07.98)						
TITLE OF INVENTION: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION									
APPLICANT(S) FOR DO/EO/US: CURRY, Kenneth; PAJOUHESH, Hassan									
Applicant hereby submits to the Unites States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).									
4. X A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.									
5. X. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):									
a. X is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US)									
6		application into English (35 U.S.C. 371(c)(2)).							
7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
<ul> <li>a are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b have been transmitted by the International Bureau.</li> <li>c have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d X</li> <li>have not been made and will not be made.</li> </ul>									
8 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
		THER DOCUMENT(S) OR INFORMATION I							
11 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. <u>X</u>	A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment								
14	A substitute specification.								
15	A change of power of attorney and	or address letter.							
16. <u>X</u>	TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION W099/54280; INTERNATIONAL PUBLICATION DATE 28 OCTOBER 1999; APPLICATION CONSISTING OF 42 PAGES INCLUDING; 36 PAGES TEXTUAL SPECIFICATION, 4 PAGES OF 10 CLAIMS; 1 COVER SHEET CONTAINING THE ABSTRACT; 1 SHEET DRAWINGS; PRELIMINARY AMENDMENT; UNEXECUTED INVENTOR'S DECLARATION; PCT/IPEA/408 WRITTEN OPINION; RESPONSE TO WRITTEN OPINION DATED JULY 19, 2000; PCT/ISA/210 INTERNATIONAL SEARCH REPORT; PCT/RO/101 REQUEST/ PCT/IPEA/401 DEMAND; PCT/IPEA/402 NOTIFICATION OF RECEIPT OF DEMAND BY COMPETENT INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY.								

ATTORNEY'S DOCKET NO: 24406

U.S. APPLICATION Not yet assigned	PPLICATION NO. INTERNATIONAL APPLICATION NO. tassigned PCT/ CA99/00311			DATE: 16 October 2000 ( 16 .10.2000)		
Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO:\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482)\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1000.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)					CULATIONS  0.00	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =					0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						
CLAIMS	NO. FILED	NO. EXTRA	RATE			
TOTAL	21 -20=	10	X \$ 18.00	\$	18.00	
INDEPENDENT	3 - 3=	0	X \$ 78.00	\$	0.00	
Multiple dependent clai	ms(s) (if applicable)	\$	0.00			
		\$	878.00.,			
Reduction by ½ for filing must also be filed. (Note 37 CFR 1.9, 1.27)	ng by small entity, if	\$	0.00			
			SUBTOTAL =	\$	878.00	
Processing fee of \$130.0	00 for furnishing the earliest claimed price	\$	0.00			
	<del></del>	\$	878.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  \$40.00 per property +					0.00	
		\$	878.00			
			FEES ENCLOSED =	Amount		\$

U.S. APPLICATION NO.
Not yet assigned
09/67347

## INTERNATIONAL APPLICATION NO.

PCT/CA99/00311

DATE:/6October 2000 (16.10.2000)

- a. X One check in the amount of \$878.00 to cover the above fees is enclosed.
- b. \_\_\_ Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.
- NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Rev. 02/98